

Translation from SEA risk rating to PSEA capacity rating

The table below translates the SEA risk rating from a previous assessment to a PSEA capacity rating.

Please note: Any partner scored less than 18 under the UNICEF Capacity Assessment Tool (6 standards) must be reassessed against the 8 core standards in the UN Harmonised Tool. For partners who scored 18, UN entities should monitor the partner’s PSEA capacity against the 8 core standards in the harmonized tool as part of its regular programme monitoring.

Translation from SEA risk rating to PSEA capacity rating

UNICEF Capacity Assessment Tool risk rating	UN Harmonised Assessment Tool capacity rating
Low risk (18 points/18 points)	Full capacity - 8 (Meets all standards)
Low risk (16-17 points/18 points)	Medium capacity - 6 to 7 (Meets most standards)
Low risk (15 points/18 points)	Low capacity - 5 or less (does not meet the minimum standards)
Medium risk (9 to 14 points/18 points)	
High risk (6 to 8 points/18 points)	

Translation between UNICEF Assessment Tool and UN Harmonised Tool standard by standard

UNICEF Core Standards	Corresponding UN Harmonised Tool Standard	UNICEF scoring for each standard	Translated UN Common Tool scoring
Standard 1 – Organisational policy	Core Standard 1 – Organisational policy	1 or 2	No
		3	Yes
Standard 2 - Organizational Management and HR Systems - Requirement 1)	Core Standard 2- Organisational Management – Subcontracting	1	No
		2 - Requirement 1) (contract and partnership agreement) <u>was not met</u>	No
		2 - Requirement 1) (contract and partnership agreement) <u>was met</u>	Yes
		3	Yes

Standard 2 - Organizational Management and HR Systems - Requirement 2)	Core Standard 3 - Human Resources Systems	1	No
		2 - Requirement 2) (vetting procedure for job candidate) <u>was not met</u>	No
		2 - Requirement 2) (vetting procedure for job candidate) <u>was met</u>	Yes
		3	Yes
Standard 3 - Mandatory Training	Core Standard 4- Mandatory Training	1 or 2	No
		3	Yes
Standard 4 - Reporting	Core Standard 5 - Reporting	1 or 2	No
		3	Yes
Standard 5 - Assistance and referrals	Core Standard 6- Assistance and referrals	1 or 2	No
		3	Yes
Standard 6 - Investigations	Core Standard 7 - Investigations	1 or 2	No
		3	Yes
N/A	Core Standard 8 -Corrective measures	N/A	Encouraged to review past corrective actions taken as part of regular monitoring.